

REMARKS

This amendment is being submitted under Rule 116 to place the application in condition for allowance or at least in improved condition for appeal. Accordingly, applicant respectfully requests the Examiner to enter the proposed amendment.

Applicant is in the process of preparing an appeal brief. In preparing this appeal brief, applicant has noticed some errors in the language of claims 1, 3, 8, 48 and 49. These are listed above. Applicant respectfully submits that the changes in claims 1, 3, 8, 48 and 49 to correct the errors are supported by the specification and drawings as originally filed. Applicant further respectfully submits that the changes do not affect the scope of the claims.

If any additional payments have to be made, please charge such costs to our deposit account No. 06-2425.

In view of the above, applicant respectfully requests the Examiner to enter the proposed amendment.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By Ellsworth R. Roston
Ellsworth R. Roston
Attorneys for Applicant

HOWARD HUGHES CENTER
6060 Center Drive, Tenth Floor
Los Angeles, California 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
ERR:dmc:29725.1

a first electrode biased to a first voltage and spaced from the wafer,
a second electrode biased to a second voltage lower than the first voltage
and spaced from the first electrode and the wafer and ~~further~~ spaced from the wafer less
than the first electrode,

magnetic members providing a magnetic field,
the first electrode and the magnetic members being disposed relative to
each other and to the molecules of the inert gas for ionizing the molecules of the inert
gas, and

the second electrode and the wafer being disposed relative to each other and
to the ions of the inert gas, and the second electrode being constructed, to obtain a
movement of the ions to the wafer at a low and controlled speed for an etching of the
surface of the insulating layer by the ions at ~~[[a]]~~ the low and controlled speed.

2. (Previously Amended) In a combination as set forth in claim 1,
a first member disposed adjacent the first electrode for providing a
reference potential different from the bias on the first electrode to create a first electrical
field, and

a second member disposed adjacent the second electrode for providing the
reference potential to create a second electrical field,

the first electrical field and the magnetic field being disposed relative to
each other and to the molecules of the inert gas from the supply for ionizing the
molecules of the inert gas,

the second electrical field and the magnetic field being disposed relative to each other and to the ions of the inert gas to obtain the movement of the ions to the wafer at the low and controlled speed,

the second electrode being contiguous to, but spaced from, the wafer.

3. (Currently Amended) In a combination as set forth in claim 1,
a first source of alternating voltage for creating the bias on the first electrode, the bias on the first electrode being a negative direct voltage,
a second source of alternating voltage for creating the bias on the second electrode, the bias on the second electrode being a negative direct voltage,
the bias on the first electrode being ~~[[less]]~~ greater than the bias on the second electrode.

4. (Original): In a combination as set forth in claim 1,
the first electrode being disposed in a substantially parallel and contiguous relationship to the wafer,
there being a path for the flow of the argon molecules from the vicinity of the first and second electrodes and the magnetic members.

5. (Previously Amended): In a combination as set forth in claim 1,
the wafer being at a floating potential,
there being first and second electrically conductive members respectfully adjacent the first and second electrodes at a reference potential to provide for the creation of electrical fields respectively between the first electrode and the first electrically

conductive member and between the second electrode and the second electrically conductive member.

6. (Previously Amended): In a combination as recited in claim 2,
a first source of alternating voltage for creating the bias on the first electrode, the bias on the first electrode being a negative direct voltage,
a second source of alternating voltage for creating the bias on the second electrode, the bias on the second electrode being a negative direct voltage,
the first electrode being disposed in a substantially parallel and contiguous relationship to the wafer,
there being a path for the flow of the molecules of the inert gas from the vicinity of the first and second electrodes and the magnetic members,
the wafer being at a floating potential,
there being first and second electrically conductive members respectfully adjacent, but spaced from, the first and second electrodes at a reference potential to provide for the creation of electrical fields respectively between the first electrode and the first electrically conductive member and between the second electrode and the second electrically conductive member.

7. (Previously Amended): In combination for etching an insulating layer in a wafer to present a clean and fresh surface on the insulating layer for deposition,
an enclosure defined by magnetic members forming a magnetic field and by first and second electrodes spaced from each other and from the wafers and providing electrical fields,

a supply of molecules of an inert gas for introducing the molecules into the enclosure,

a first source of an alternating voltage for producing a direct negative voltage of a high magnitude on the first electrode for the creation of a first electrical field of a high magnitude in the enclosure,

a second source of an alternating voltage for producing a direct negative voltage of a low magnitude on the second electrode for the creation of a second electrical field of a low magnitude in the enclosure,

the molecules of the inert gas in the enclosure being ionized by the combination of the electrical and magnetic fields, and

the wafer being disposed relative to the second electrode and relative to the ions of the inert gas in the enclosure to receive an etching of a low magnitude on the surface of the insulating layer by the ions of the inert gas in the enclosure.

8. (Currently Amended): In a combination as set forth in claim 7,

an opening in the enclosure for the flow of the molecules and ions of the inert gas from the enclosure,

the first source of the alternating voltage being operative to produce a direct voltage of the high magnitude and a negative polarity at the first electrode,

the second source of the alternating voltage being operative to produce a direct voltage of the low magnitude and a negative polarity at the second electrode,

the ~~first~~ second electrode being disposed in contiguous, but spaced, relationship to the wafer.

9. (Previously Amended): In a combination as set forth in claim 7,
a first electrical conductor disposed in adjacent but spaced relationship to
the first electrode at a particular reference potential to produce a first electrical field
between the first electrode and the first electrical conductor, and
a second electrical conductor disposed in adjacent but spaced relationship
to the second electrode at the particular reference potential to produce a second electrical
field between the second electrode and the second electrical conductor.

10. (Original): In a combination as set forth in claim 7,
the wafer being disposed between the first and second electrodes in a
substantially parallel relationship to the first and second electrodes and closer to the
second electrode than the first electrode,
the wafer being at a floating potential relative to the negative potentials on
the first and second electrodes and relative to the reference potential.

11. (Previously Amended): In a combination as set forth in claim 7,
the wafer being disposed in a spaced, but adjacent, relationship to the
second electrode to create a first capacitor between the second electrode and the wafer
and to create a second capacitor between the wafer and the ions of the inert gas in the
enclosure.

12. (Original): In a combination as set forth in claim 10,
a vacuum pump for producing a vacuum in the enclosure, there being a
space between the second electrode and the second conductive member for the flow of
the molecules and ions of the inert gas from the enclosure.

13. (Previously Amended) In a combination as set forth in claim 10,
a first electrical conductor disposed in an adjacent, but spaced, relationship
to the first electrode at a particular reference potential to produce a first electrical field
between the first electrode and the first electrical conductor,

a second electrical conductor disposed in an adjacent, but spaced,
relationship to the second electrode at the particular reference potential to produce a
second electrical field between the second electrode and the second conductor,

the wafer being disposed in a spaced, but contiguous, relationship to the
second electrode to create a first capacitor between the second electrode and the wafer
and to create a second capacitor between the wafer and the ions of the inert gas in the
enclosure.

14. (Previously Amended): In combination for etching an insulating layer in a
wafer disposed in an enclosure to present a clean and fresh surface on the insulating layer
for deposition,

magnetic members defining a magnetic field in the enclosure,

a first source of an alternating voltage for providing a first electrical field of
a high magnitude in the enclosure,

a first electrode ~~forming a part of~~ included in the enclosure and connected
to the first source of voltage for providing a negative DC voltage of a relatively high
magnitude at a first position in the enclosure,

a second source of an alternating voltage for providing a second electrical
field of a low magnitude in the enclosure,

a second electrode ~~forming a part of~~ included in the enclosure and connected to the second source of the alternating voltage for providing a negative DC voltage of a relatively low magnitude at a second position displaced from the first position and the wafer but near the wafer,

a conduit for introducing molecules of an inert gas into the enclosure for ionization by the combination of the electrical and magnetic fields to produce ions of high density,

the second electrode and the wafer providing a first capacitor of a high impedance, and the wafer and the ions in the enclosure providing a second capacitor of a low impedance, in a circuit to produce a current of a low magnitude for etching the surface of the insulating layer in the wafer.

15. (Previously Amended): In a combination as set forth in claim 14, the first capacitor including a dielectric of the molecules and ions of the inert gas and the second capacitor including a dielectric constituting the insulating layer.

16. (Amended) In a combination as set forth in claim 14, a first electrically conductive member disposed in an adjacent but spaced relationship to the first electrode and having a reference potential to provide an electrical field between the first electrode and the first electrically conductive member, and a second electrically conductive member disposed in an adjacent but spaced relationship to the second electrode and having the reference potential to provide an electrical field between the second electrode and the second electrically conductive member.

17. (Original): In a combination as set forth in claim 14,
the wafer having a floating potential and being disposed between the first
and second electrodes in closer proximity to the second electrode than to the first
electrode and being substantially parallel to the first and second electrodes.
18. (Previously Amended): In a combination as set forth in claim 17,
the conduit being disposed adjacent the first electrode to introduce the
molecules of the inert gas into the enclosure and the molecules and ions of the inert gas
being passed from the enclosure at a position adjacent to the second electrode.
19. (Previously Amended): In a combination as set forth in claim 14,
the magnetic members being disposed in a direction substantially
perpendicular to the first and second electrodes to produce a helical movement of
electrons in the enclosure and to provide for the production of the ions from the
molecules of the inert gas by the electrons in the helical movement.
20. (Previously Amended): In a combination as set forth in claim 14,
a first electrically conductive member disposed in adjacent but spaced
relationship to the first electrode and having a reference potential to provide an electrical
field between the first electrode and the first electrically conductive member,
a second electrically conductive member disposed in adjacent but spaced
relationship to the second electrode and having the reference potential to provide an
electrical field between the second electrode and the second electrically conductive
member,

the wafer having a floating potential and being disposed between the first and second electrodes in closer proximity to the second electrode than to the first electrode and being substantially parallel to the first and second electrodes,

the conduit being disposed adjacent, but spaced from, the first electrode to introduce the molecules of the inert gas into the enclosure and the molecules and ions of the inert gas being passed from the enclosure at a position adjacent to, but spaced from, the second electrode,

the magnetic members being disposed in a direction substantially perpendicular to the first and second electrodes to produce a helical movement of electrons in the enclosure and to provide for the production of the ions from the molecules of the inert gas by the electrons in the helical movement.

21. (Previously Amended) In combination for etching an insulating layer in a wafer to present clean and fresh surfaces on the insulating layer for deposition,

an enclosure

first and second electrodes disposed in the enclosure and displaced from each other and from the wafer for producing electrical fields in the enclosure, and

magnetic members disposed in the enclosure for producing a magnetic field in the enclosure in a direction transverse to the electrical field,

a first voltage source for producing a voltage of a high magnitude in the vicinity of the first electrode to obtain a production of a high electrical field in the enclosure,

a second voltage source for producing a voltage of a low magnitude in the vicinity of the second electrode to obtain a production of a low electrical field in the enclosure, and

a supply of molecules of an inert gas for introduction into the enclosure to cooperate with the first and second electrodes and the magnetic members in obtaining an ionization of the gas molecules in the enclosure by the electrical and magnetic fields in the enclosure and in obtaining a movement of the ions in the enclosure to the insulating layer in the wafer at a speed to obtain a smooth and uniform etching of the surface of the insulating layer at a low rate without any pits in the surface of the insulating layer.

22. (Currently Amended): A method of etching an insulating layer in a wafer to present a clean and fresh surface on the insulation layer for a deposition on the insulating layer, including the steps of:

providing a relatively strong electrical field at first positions in an enclosure,

providing a relatively weak electrical field at second positions displaced in the enclosure from the first positions, the relatively weak electrical fields defining a capacitor with a high impedance to limit the transfer of electrical charges to the insulating layer in the wafer,

passing molecules of an inert gas through the enclosure, and

providing a magnetic field in the enclosure in a direction relative to the strong electrical field to obtain a movement of electrons in the enclosure at the positions of the strong electrical field and an ionization of molecules of the inert gas by the

electrons and a movement of the ions in a direction relative to the weak electrical field to obtain a movement of the ions, in accordance with the high impedance of the capacitor defined by the relatively weak field, to the second electrode at a speed for etching the surface of the insulating layer on the wafer substantially uniformly without pitting the insulating layer.

23. (Previously Amended): A method as set forth in claim 22 wherein the relatively strong electrical field is provided in a first direction and the relatively weak electrical field is provided in a second direction opposite to the first direction and wherein the magnetic field is provided in a direction transverse to the first and second directions to cooperate with the relatively strong electrical field in producing a movement of the electrons in the enclosure in a helical path for facilitating the ionization of molecules of the inert gas in the enclosure.

24. (Previously Amended): A method as set forth in claim 22 the wafer is disposed in the weak electrical field and wherein the molecules of the inert gas are passed through the enclosure initially to positions in the relatively strong electrical field to obtain an ionization of molecules of the inert gas and subsequently through the enclosure to positions in the relatively weak electrical field to facilitate a substantially uniform etching of the surface of the insulating layer on the wafer by the ions.

25. (Previously Amended): A method as set forth in claim 22 wherein the wafer is disposed in the relatively weak electrical field and wherein an electrode providing the relatively weak electrical field is spaced from, but disposed relatively close to, the wafer to cooperate with the wafer in providing a high impedance in the capacitor and a circuit including the capacitor for attracting the ions in the weak electrical field to the wafer to etch the surface of the insulating layer on the wafer without pitting the insulating layer.

26. (Previously Amended): A method as set forth in claim 21 wherein the capacitor constitutes a first capacitor and wherein the relatively weak electrical field is defined by the first capacitor and a second capacitor in a series circuit and wherein the first capacitor is defined by plates constituting an electrode and the wafer and in which the plates of the first capacitor are separated by a space in which molecules and ions of the inert gas are disposed to define the insulator for the first capacitor and to provide the first capacitor with the high impedance and wherein a second capacitor is defined by plates constituting the wafer and the ions of the inert gas in the enclosure and wherein the plates of the second capacitor are separated by the insulating layer in the wafer to define the insulator of the second capacitor and to provide the second capacitor with a relatively low impedance in comparison to the high impedance of the first capacitor.

27. (Original): A method as set forth in claim 26 wherein
the relatively strong electrical field is provided by a first electrode and a
first alternating voltage providing a relatively high negative bias on the first electrode and
wherein
the relatively weak electrical field is provided by a second electrode and by
a second alternating voltage providing a relatively low bias on the second electrode.
28. (Previously Amended) A method as set forth in claim 26 wherein
the wafer is disposed in the relatively weak electrical field and wherein
the molecules of the inert gas are passed through the enclosure initially
through positions in the relatively strong electrical field to obtain an ionization of
molecules of the inert gas and subsequently through positions in the relatively weak
electrical field to facilitate a substantially uniform etching of the surface of the insulating
layer on the wafer by the ions and wherein
the wafer is disposed in the relatively weak electrical field and wherein
an electrode providing the relatively weak field is spaced from, but
disposed relatively close to, the wafer to cooperate with the wafer in providing a high
impedance in the first capacitor and a circuit including the second capacitor for attracting
the ions in the weak electrical field to the wafer to etch the surface of the insulating layer
on the wafer without pitting the insulating layer.

29. (Previously Amended) A method as set forth in claim 26 wherein
the capacitor constitutes a first capacitor and wherein
the first capacitor and a second capacitor are in series and wherein
the first capacitor is defined by plates constituting an electrode and the
wafer and wherein
the plates of the first capacitor are separated by a space in which molecules
and ions of the inert gas are disposed to define the insulator for the capacitor and to
provide the high impedance and wherein
the second capacitor is defined by plates constituting the wafer and the ions
of the inert gas in the enclosure and wherein the plates of the second capacitor are
separated by the insulating layer in the wafer to define the insulator of the second
capacitor and to provide a relatively low impedance in comparison to the high impedance
of the first capacitor and wherein
the relatively strong electrical field is provided by a first electrode and a
first alternating voltage providing a relatively high negative bias on the first electrode and
wherein
the relatively weak electrical field is provided by a second electrode and by
a second alternating voltage providing a relatively low negative bias on the second
electrode.

30. (Original) A method of etching an insulating layer on a wafer to present a clean and fresh surface on the insulating layer for deposition, including the steps of

passing molecules of an inert gas through an enclosure,

disposing a first electrode in the enclosure to provide a strong electrical field in a first direction at first positions in the enclosure to ionize molecules of the inert gas in the enclosure,

disposing a second electrode in the enclosure to provide a weak electrical field at second positions in the enclosure in a second direction opposite to the first direction,

providing a magnetic field in the enclosure, in a direction transverse to the first and second directions, to cooperate with the strong electrical field in producing charged particles in the enclosure and to cooperate with the weak electrical field in producing a transfer of the charged particles to the surface of the insulating layer in the wafer to provide a weak and controlled etching of the surface of the insulating layer without producing pits in the surface of the insulating layer.

31. (Previously Amended): A method as set forth in claim 30 wherein

the molecules of the inert gas pass through the enclosure from the strong electrical field to the weak electrical field and wherein

the magnetic field is substantially perpendicular to the strong and weak electrical fields.

32. (Original): In a combination in claim 30 wherein
the strong electrical field is defined in part by the first electrode and by an
alternating voltage applied at a first magnitude to the first electrode to bias the first
electrode at a negative DC potential with a first magnitude and wherein
the weak electrical field is defined in part by the second electrode and by an
alternating voltage applied to the second electrode at a second magnitude less than the
first magnitude to bias the second electrode at a negative DC potential with a second
magnitude less than the first magnitude for producing the transfer of the charged particles
to the surface of the wafer to provide the weak and controlled etching of the surface of
the insulating layer without producing pits in the surface of the insulating layer.

33. (Original): In a combination as set forth in claim 30 wherein
the magnetic field is provided by magnetic members and wherein
the magnetic members and the first and second electrodes define the
enclosure.

34. (Original): In a combination as set forth in claim 30 wherein
the wafer is disposed in the weak electrical field and is separated from the
second electrode in the weak electrical field.

35. (Original): In a combination as set forth in claim 30 wherein
the magnetic field is substantially perpendicular to the strong and weak
electrical fields and wherein

the molecules of the inert gas pass into the enclosure through the strong magnetic field and the molecules and the ions of the inert gas pass from the enclosure through the weak electrical field.

36. (Previously Amended): A method as set forth in claim 30 wherein the second electrode and the wafer constitute plates of a first capacitor and ions and molecules of the inert gas constitute the dielectric of the first capacitor and wherein

the wafer and the ions of the inert gas constitute plates of a second capacitor and wherein the insulating layer of the wafer constitutes the dielectric of the second capacitor and wherein

the first capacitor has a higher impedance than the second capacitor.

37. (Previously Amended): A method of etching an insulating layer on a wafer having at least one socket, defined by walls in the insulating layer, to present a clean and fresh surface on the insulating layer, including the walls of the socket, for deposition, including the steps of:

passing molecules of an inert gas through an enclosure,

providing a strong electrical field at first positions in the enclosure to ionize molecules of the inert gas in the enclosure

providing a weak electrical field at second positions, including the positions of the wafer, in the enclosure, and

providing a magnetic field in the enclosure in a direction transverse to the directions of the first and second electrical fields in the enclosure to cooperate with the

strong electrical field in producing charged particles and to cooperate with the weak electrical field in producing a transfer of the charged particles₁ to the surface of the insulating layer in the wafer and to the walls of the socket in the insulating layer₁ at a low speed to provide a weak and controlled etching of a uniform thickness from the surface of the insulating layer and the walls of the socket without pitting the surface of the insulating layer or the walls of the socket.

38. (Original): A method as set forth in claim 37, including the steps of:
providing a first electrode in the enclosure for the strong electrical field and introducing an alternating voltage of a first particular amplitude to the first electrode to produce a strong negative DC bias on the first electrode for the creation of the strong electrical field,

providing a second electrode in the enclosure for the weak electrical field and introducing an alternating voltage of a second particular amplitude less than the first particular amplitude to the second electrode to produce a weak negative DC bias on the second electrode for the creation of the weak electrical field.

39. (Previously Amended): A method as set forth in claim 37, including the steps of:

disposing the wafer in the enclosure in an adjacent but spaced relationship to the second electrode to provide a high impedance between the second electrode and the wafer for limiting the transfer of charged particles to the surface of the insulating layer and the walls of the socket and for providing for a removal of a substantially uniform

thickness from the surface of the insulating layer and from the surfaces of the walls of the socket.

40. (Previously Amended): A method as set forth in claim 37, including the steps of:

providing a first electrode to create the strong electrical field,

providing a second electrode to create the weak electrical field,

providing magnets to create the magnetic field,

the first and second electrodes and the magnets substantially defining the enclosure, and

disposing the wafer in the enclosure in a closely spaced relationship to the second electrode.

41. (Original): A method as set forth in claim 37 wherein

the wafer is at a floating potential and wherein

the magnets are substantially at a ground potential and wherein

first and second members substantially at ground potential are provided

respectively in proximity to the first and second electrodes to cooperate respectively with the first and second electrodes in creating the strong and weak electrical fields.

42. (Previously Amended): A method as set forth in claim 37 including the steps of:

introducing an alternating voltage of a first particular magnitude to the first electrode to produce a strong negative DC bias on the first electrode for the creation of the strong electrical field,

introducing an alternating voltage of a second particular magnitude less than the first particular magnitude to the second electrode to produce a weak negative bias on the second electrode for the creation of the weak electrical field, and

providing a high impedance between the second electrode and the wafer and a low impedance between the wafer and the charged particles near the wafer to produce a transfer of charged particles with limited energy to the surface of the insulating layer and the walls of the socket in the insulating layer and to provide the weak and controlled etching of the surface of the insulating layer and the walls of the socket with a substantially uniform thickness of material from the insulating layer and the wall of the socket without pitting the surface of the insulating layer or the walls of the socket.

43. (Previously Amended): In a combination as set forth in claim 21 wherein, the first electrode provides the high electrical field in cooperation with the magnetic field for producing an ionization of molecules of an inert gas in the enclosure and wherein

the second electrode provides the low electrical field in cooperation with the magnetic field for etching the surface of the insulating layer on the wafer to obtain the smooth and uniform etching on the surface of the insulating layer at the low rate without any pits in the surface of the insulating layer.

44. (Previously Amended): In a combination as set forth in claim 21 wherein the first voltage source applies an alternating voltage from the voltage source to the first electrode to produce a strong negative direct voltage in the vicinity of the first electrode and wherein

the second voltage source applies an alternating voltage from the second voltage source to the second electrode to produce a weak negative direct voltage in the vicinity of the second electrode.

45. (Previously Amended): In a combination as set forth in claim 21 wherein a first electrical conducting member is disposed in a cooperative relationship with the first electrode to provide for the production of the high electrical field and wherein

a second electrical conducting member is disposed in a cooperative relationship with the second electrode to provide for the production of the low electrical field.

46. (Amended) In a combination as set forth in claim 45 wherein the first and second electrodes are substantially parallel to the wafer and wherein the first and second electrical conducting members are substantially parallel to the first and second electrodes.

47. (Original): In a combination as set forth in claim 46 wherein the first and second electrical conducting members are respectively disposed in a substantially parallel, but spaced, relationship to the first and second electrodes.

48. (Currently Amended): In a combination as set forth in claim 43 wherein the wafer and the ~~first~~ second electrode define a series relationship between two (2) capacitors, one having a high capacity impedance and the other having a low

capacity impedance and wherein the high capacity impedance limits the energy providing for the etching of the surface of the insulating layer in the wafer.

49. (Currently Amended): In a combination as set forth in claim 47 wherein, the wafer and the ~~first~~ second electrode define a series relationship between two (2) capacitors, one having a high capacity impedance and the other having a low capacity impedance and wherein the high capacity impedance limits the energy providing for the etching of the surface of the insulating layer in the wafer.

50. (Previously Amended): In a combination a set forth in claim 44 wherein a first electrical conducting member is disposed in cooperative relationship with the first electrode to provide for the production of the high electrical field and wherein

a second electrical conducting member is disposed in cooperative relationship with the second electrode to provide for the production of the low electrical field.

51. (Previously Amended): In a combination as set forth in claim 49 wherein the first voltage source applies an alternating voltage from the first voltage source to the first electrode to produce a strong negative direct voltage in the vicinity of the first electrode and wherein

the second voltage source applies an alternating voltage from the source to the second electrode to produce a weak negative direct voltage in the vicinity of the second electrode wherein

a first electrical conducting member is disposed in cooperative relationship with the first electrode to provide for the production of the high electrical field and wherein

a second electrical conducting member is disposed in cooperative relationship with the second electrode to provide for the production of the low electrical field.